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12/31/01
JC882 U.S. PTO

LAW OFFICES
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PATENTS, TRADEMARKS, COPYRIGHTS

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BARRY R. LIPSITZ
DOUGLAS M. McALLISTER

BOX PATENT APPLICATION
Commissioner for Patents
Washington, D.C. 20231

UTILITY PATENT APPLICATION TRANSMITTAL
FILED UNDER 37 C.F.R. §1.53(b)

JC826 U.S. PTO
10/039156
12/31/01

Sir:

Transmitted herewith for filing is the patent application of:

Inventor(s): **R. SAFADI**

Title: **METHODS AND APPARATUS FOR DIGITAL RIGHTS MANAGEMENT**

Assignee: **General Instrument Corporation**

APPLICATION ELEMENTS:

- (1) ☒ Patent Application Specification, including Abstract and claims - 20 pages
- (2) ☒ One (1) sheet of formal drawing, together with transmittal letter
- (3) ☒ A check in the amount of **\$1,104.00** to cover the ☒ filing fee (**\$1,064.00**) and/or ☒ Assignment Recordal Fee (**\$40.00**) is enclosed.

- ☐ Before calculating the fee, cancel claim(s)
- ☐ Before calculating the fee, see copy of Preliminary Amendment filed herewith.

Basic Fee								\$740.00
Multiple Dependent Claims (\$280.)								
Foreign Language Surcharge (\$130.)								
	For	No. Filed	-	No. Extra		Rate		
EXTRA	Total Claims	38	20	18	x	\$18.	=	\$324.00
CLAIMS	Independent Claims	2	3	0	x	\$84.	=	
TOTAL FILING FEE								= \$1064.00

☒ The Commissioner is hereby authorized to charge any deficiency in the payment of the required fee(s) or credit any overpayment to Deposit Account No. 50-0625.

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Commissioner for Patents
Page 2

- (4) ☒ Declaration and Power of Attorney form -- 2 pages
a. ☒ Newly executed
b. ☐ Copy from a prior application
c. ☐ Deletion of inventor(s) -- signed statement attached deleting inventor(s) named in the prior application.
- (5) ☐ Applicant claims small entity status

ACCOMPANYING APPLICATION PARTS:

- (6) ☒ Patent Application Data Entry Form - 1 sheet
(7) ☒ Assignment document
a. ☒ Newly executed (with \$40.00 recordal fee) and separate transmittal Form PTO-1595
b. ☐ Copy from a prior application
☒ Please include assignee information when this application is published. Assignee:
General Instrument Corporation
101 Tournament Drive
Horsham, Pennsylvania 19044
United States of America
- (8) ☐ Preliminary Amendment
(9) ☐ Certified Copy of Priority Document, together with separate transmittal letter
(10) ☐ Information Disclosure Statement, together with PTO Form 1449 and copies of cited references
- (11) ☒ Return receipt postage prepaid postcard
(12) ☒ Express Mail Certificate (Mailing Label No. EV 046 687 002 US)
(13) ☐ Other:
a. ☐

- (14) ☐ If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)
of prior application no.: _____
Prior application information:
Examiner: _____ Group/Art Unit: _____
Status: _____

[illegible]

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Date: December 31, 2001
Attorney Docket No.: GIC-659

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
R. Safadi)
Filed: Herewith)
Title: METHODS AND APPARATUS FOR DIGITAL RIGHTS)
MANAGEMENT)

DRAWING REVIEW BRANCH
Commissioner for Patents
Washington, D.C. 20231

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as Express Mail (No. EV 046 687 002 US) in an envelope addressed to: BOX PATENT APPLICATION, Commissioner for Patents, Washington, D.C. 20231 on: December 31, 2001

By: Carol Prentice
Carol Prentice

TRANSMITTAL OF FORMAL DRAWING(S)

Dear Sir:

Enclosed is ONE (1) sheet of formal drawing for filing in the above-referenced patent application.

Please advise the undersigned attorney if correction is necessary.

Respectfully submitted,

Barry R. Lipsitz
Barry R. Lipsitz
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Date: December 31, 2001
ATTORNEY DOCKET NO.: GIC-659